

AGENDA

AUDIT AND RISK COMMITTEE

Date:	Tuesday 12 March 2019
Time:	1500 for external Committee Members and <u>external</u> Auditors; 1530 for all other attendees
Location:	Boardroom, University House, Leek Road Campus

Notes:

1. Items marked with an asterisk (starred items) are for information only or regarded as noncontentious. Starred items will not be discussed and will be assumed to have been noted or approved unless a request to unstar a named item is received from a Board member in advance, or at the commencement, of the meeting.
2. Items classified as Confidential will be placed on the Register of Confidential Minutes (reviewed annually). These items, and their subsequent minutes, will be redacted before publication of the agenda and minutes on the University's public website.

1500 - 1530 Pre-meet for External Committee Members with external auditors (without the presence of University staff)

	Lead	Pgs
1 MEETING MANAGEMENT		
1.1	Apologies for Absence	Clerk
1.2	Declarations of Interest	Clerk
1.3	Minutes of the last meeting of the Committee, 15 January 2019 AR/112/01	Clerk 3-7
1.4	Matters arising: <ul style="list-style-type: none"> • Minute 1597 – TRAC and TRAC(T) Return 2017/18 – to note that following the submission of the TRAC return at the January meeting of the Committee, the TRAC(T) return was approved by the Committee following circulation by email on 05 February 2019, and submitted on 13 February 2019. 	Clerk
2 FOR DISCUSSION AND/OR APPROVAL (marked below accordingly)		
2.1	Overview of Annual Business AR/112/02	Clerk 8-9
2.2	Internal Audit Reports: <ul style="list-style-type: none"> • BAME Student Experience AR/112/03a • Students' Union: Governance AR/112/03b <i>to follow</i> • REF 2021 Preparedness AR/112/03c • Catalyst Funding - Apprenticeships AR/112/03d • IA Progress report AR/112/03e 	Clerk/RSM 10-31 <u>32-50</u> 51-72 73-89 90-97
2.3	Annual Review of Risk Appetites (DISCUSSION) AR/112/04	COO 98-105
2.4	Risk Management Framework Update (DISCUSSION) AR/112/05	BRM 106-109
3 FOR INFORMATION		
3.1	*Annual Health, Safety and Wellbeing Report 2017-18* AR/112/06	COO 110-131
3.2	*Outstanding Actions against earlier Internal Audit Reports* AR/112/07	BRM 132-134
3.3	Public Interest Disclosure Update AR/112/08	COO 135-136
4 ADDITIONAL MATTERS		
4.1	Any additional matters	Clerk

		Lead	Pgs
4.2	Items to be referred to Sustainability and Resources Committee	Clerk	
4.3	Items to be referred to Board of Governors	Clerk	
4.4	Next meeting: Tuesday 04 June 2019 (Boardroom, University House)		