

AGENDA

AUDIT AND RISK COMMITTEE

Date:	Tuesday 15 January 2019
Time:	1500 for external Committee Members and <u>internal</u> Auditors; 1530 for all other attendees
Location:	Boardroom, University House, Leek Road Campus

Notes:

1. Items marked with an asterisk (starred items) are for information only or regarded as noncontentious. Starred items will not be discussed and will be assumed to have been noted or approved unless a request to unstar a named item is received from a Board member in advance, or at the commencement, of the meeting.
2. Items classified as Confidential, and their subsequent minutes, will be redacted before publication of the agenda and minutes on the University's public website.

1500 - 1530 Pre-meet for External Committee Members with internal auditors (without the presence of University staff)

		Lead	Classification	Pgs
1 MEETING MANAGEMENT				
1.1	Apologies for Absence	Clerk	Public	
1.2	Declarations of Interest	Clerk	Public	
1.3	Minutes of the last meeting of the Committee , 30 October 2018 AR/111/01	Clerk	Public	3-8
1.4	Matters arising: <ul style="list-style-type: none"> • Minute 1570 – to note that the CMA Compliance IA Report was circulated to committee members by email on 27 Nov 2018 and appears elsewhere on the agenda. 	Clerk	Public	
2 FOR DISCUSSION AND/OR APPROVAL (marked below accordingly)				
2.1	Overview of Annual Business (DISCUSSION) AR/111/02	Clerk	Public	9-10
2.2	Internal Audit Reports: <ul style="list-style-type: none"> • CMA Compliance 2017-18 IA Report (PwC) AR/111/03 <i>previously circulated by email</i> • BAME Student Experience and Journey AR/111/04 <i>to follow</i> • GUILD HE/UUK Code for Mngmt of Student Housing: Compliance Review AR/111/05 <i>to follow</i> • RSM Internal Audit Progress Report AR/111/06 <i>to follow</i> 	Clerk/RSM	Confidential	11-24
2.3	TRAC Submissions – Executive Summary (APPROVAL) AR/111/07: <ul style="list-style-type: none"> • TRAC 2017-18 Commentary AR/111/07AppxA • TRAC 2017-18 Return AR/111/07AppxB 	CFO	Confidential	25-42
2.4	Procurement Strategy Update (DISCUSSION) AR/111/08 <i>deferred from 30 Oct 2018 meeting</i>	CFO	Public	43-54
2.5	Non-Audit Services (APPROVAL) AR/111/09	CFO	Confidential	55-57
2.6	Risk Management Framework Update (DISCUSSION) AR/111/10	BRM	Confidential	58-61
2.7	Catalyst-Funded Project Risk Register (DISCUSSION) AR/111/11	PVC – P&R	Confidential	62-65
2.8	Review of Performance of Auditors (DISCUSSION)	Clerk	Confidential	

		Lead	Classifi- cation	Pgs
2.9	Public Interest Disclosure Report (DISCUSSION) AR/111/12	COO	Confidential	66-67
2.10	*Annual Review of Anti-Bribery and Corruption Policy and Anti-Fraud Policy* AR/111/13	COO	Public	68-78
3 FOR INFORMATION				
3.1	*Outstanding Actions against earlier Internal Audit Reports* AR/111/14	BRM	Confidential	79-82
4 ADDITIONAL MATTERS				
4.1	Any additional matters	Clerk		
4.2	Items to be referred to Sustainability and Resources Committee	Clerk		
4.3	Items to be referred to Board of Governors	Clerk		
4.4	Next meeting: TBC (Boardroom, University House)			